

THE FISHER 401(K) SOLUTIONS COMPARISON CHART

A specialized and experienced service provider is essential to the success of your company's 401(k) plan. Use this chart to easily compare Fisher Investments 401(k) Solutions with your other provider options.

	Fisher 401(k) Solutions	Provider #1	Provider #2
Business			
401(k) Group dedicated specifically to small/mid-sized businesses	Yes		
A recognized leader in investing	Yes		
100% Fisher-family and employee-owned	Yes		
Liability Protection			
Shares liability as a 3(21) fiduciary	Yes		
Shoulders liability as a 3(38) investment manager	Yes		
Administrative assistance in preparation for a DOL or IRS audit	Yes		
Assists with constructing & maintaining a fiduciary audit file	Yes		
Service			
Designated Retirement Counselor or service manager	Yes		
Willing to back their commitment in writing with a service guarantee	Yes		
Offers individual consultations with participants during enrollment	Yes		
Offers on-site visits to multiple business locations	Yes		
Live Person Help Desk staffed with 401(k) Specialists	Yes		
Provides a full-service transition team making a change easy	Yes		
Provides Payroll, Insurance & HR Services	No		
Investments			
Flexible investment approach to meet employees' specific goals	Yes		
Access to over 15,000 investment options through a self-directed brokerage account	Yes		
Actively managed investment options	Yes		
Transparent Fees			
A single, transparent, asset-based fee	Yes		
Avoids revenue sharing products & commissions	Yes		
Does not sell investment products to your participants	Yes		
No hidden or bundled fees	Yes		
Low-cost funds*	Yes		

*Benchmarked to Morningstar Category Averages

Intended for use by employers considering or sponsoring retirement plans; not for personal use by plan participants. Investing in securities involves the risk of loss.